

# An 18-Electron System Containing a Superheavy Element: Theoretical Studies of $\text{Sg@Au}_{12}$

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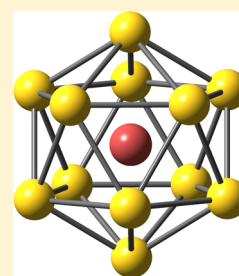
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## Supporting Information

**ABSTRACT:**  $\text{M@Au}_{12}$  cage molecules ( $\text{M}$  = transition element from group 6) are interesting clusters with high-symmetric structure and significant stability. As the heavier homologue of  $\text{W}$  is  $^{106}\text{Sg}$ , it is interesting to pinpoint whether the  $\text{Sg@Au}_{12}$  cluster is also stable. Geometric and electronic structures and bonding of various  $\text{Sg@Au}_{12}$  isomers were investigated with density functional theory (PW91, PBE, B3LYP) and wave function theory (MP2, CCSD(T)) approaches. The lowest-energy isomer of  $\text{Sg@Au}_{12}$  has icosahedral symmetry with significant  $\text{Sg}(6d)\text{--Au}(6s)$  covalent-metallic interaction and is comparable to the lighter homologues ( $\text{M} = \text{Mo}, \text{W}$ ), with similar binding energy, although  $\text{Sg}$  follows (as a rare case) the textbook rule “ $ns$  below  $(n - 1)d$ ”. The 12  $6s$  valence electrons from  $\text{Au}_{12}$  and the six  $7s6d$  ones from  $\text{Sg}$  can be viewed as an 18e system below and above the interacting  $\text{Au } 5d$  band, forming nine delocalized multicenter bond pairs with a high stability of  $\sim 0.8$  eV of bond energy per each of the 12  $\text{Sg}\text{--Au}$  contacts. Different prescriptions (orbital, multipole-deformation, charge-partition, and X-ray-spectroscopy based ones) assign ambiguous atomic charges to the centric and peripheral atoms; atomic core-level energy shifts correspond to some negative charge shift to the gold periphery, more so for  $\text{Cr@Au}_{12}$  than for  $\text{Sg@Au}_{12}$  or  $\text{Au@Au}_{12}$ .



## 1. INTRODUCTION

Bohr had invented electronic orbits in nuclear atoms in 1913, and he derived the respective energy level patterns and maximum occupation numbers within that theoretical framework from experimental data until 1923.<sup>1,2</sup> Earlier, though in a purely empirical manner, Langmuir<sup>3</sup> had formulated atomic 8-, 18-, and 32-electron rules, which were further developed by Sidgwick, Mingos, Pyykkö, and others up to recent times.<sup>4–6</sup> Many examples are found in the field of transition metal complexes; see the textbooks of inorganic chemistry, for example.<sup>7</sup> Systems with a slightly broken spherical symmetry, such as highly symmetric transition metal complexes or atomic clusters, may have slightly broken atom-like one-particle level schemes resembling stable  $s^2\text{--}p^6$ ,  $s^2\text{--}p^6\text{--}d^{10}$ , or  $s^2\text{--}p^6\text{--}d^{10}\text{--}f^{14}$  type shells for 8, 18, or 32 electrons, respectively. As Pyykkö<sup>6</sup> had pointed out, not all  $s\text{--}p\text{--}d$  or  $s\text{--}p\text{--}d\text{--}f$  subshells must act stabilizing or be (partially) localized on the central atom. We also note that the 18e noble gas shells of Kr and Xe are of  $d^{10}\text{--}s^2\text{--}p^6$  type.

Pyykkö<sup>6,8,9</sup> had discussed the relevance of the 18e rule for metal clusters of type  $\text{M}'\text{@M}_n$  such as  $\text{M}'\text{@Au}_{12}$ , where  $\text{M}'$  with  $[(n - 1)d, ns]^6$  outer shell means an element with six valence electrons from group 6 of the periodic system, and the 12 Au atoms contribute their 12 loose outer valence electrons. The first experimental observation of icosahedral  $\text{W@Au}_{12}$  and  $\text{Mo@Au}_{12}$  clusters was reported by Wang et al.<sup>17</sup> in 2002 following Pyykkö's theoretical prediction earlier that year.

As usual, the atomic radii increments increase down the group (values of Fluck<sup>10</sup>/Pyykkö,<sup>11</sup> respectively):  $\text{Cr} \approx 125/122$ ,  $\text{Mo} \approx 136/138$ ,  $\text{W} \approx 137/137$ , and  $\text{Sg} \approx 132/143$  pm. The values suggested for Au show a larger discrepancy of 144/124 pm; see also refs 12 and 15. The two radii of Au resemble those of the lightest versus the heaviest group 6 elements, namely, Cr and Sg. Seaborgium is the heaviest of all elements where simple chemistry may still be possible: the  $^{270\pm 1}\text{Sg}$  isotopes have lifetimes of  $\sim 2$  min. Various halo-oxo-hydroxo and carbonyl complex species of Sg (of even shorter-lived isotopes) in the gaseous and liquid phase have been reported as similar to Sg's lighter homologues.<sup>13</sup>

Concerning the effective atomic radii of Sg, the open question is whether  $\text{W} < \text{Sg}$  or  $\text{W} > \text{Sg}$ .  $\text{W} < \text{Sg}$  seems more reasonable because the Dirac-Fock radii of both the p-core and d-valence shells of Sg are 11.5% larger than those of W,<sup>14</sup> although Fricke advocated  $\text{W} \approx \text{Sg}$ .<sup>15</sup> Sg exhibits even larger relativistic effects than those of the celebrated Au,<sup>16</sup> such as large 6p core and 6d valence spin-orbit splittings, 6d self-consistent relativistic expansion and destabilization, and 7s direct relativistic stabilization and contraction. The question arises, in which direction  $\text{Sg@Au}_{12}$  might deviate from well-investigated  $\text{W@Au}_{12}$ .<sup>8,9,17,18</sup>

Gold atoms and gold clusters have recently gained increased interest in chemistry and material science, since they can act as

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effective catalysts for a variety of important reactions.<sup>19–24</sup> Open-shell gold clusters with an encapsulated metal atom can be stabilized by the joint effects of shell-closing, relativity, and electron correlation (aurophilic attraction).<sup>25,26</sup> The geometric and electronic structures of  $M@Au_{12}^{\pm}$  clusters ( $M = V, Cr; Nb, Mo; Hf$  to  $Pb$ ) have been investigated both experimentally and theoretically, applying photoelectron spectroscopy, electron scattering, density functional theory (DFT), and correlated ab initio wave function theory (WFT) approaches.<sup>27–34</sup> Depending on the central  $M$  in  $M@Au_{12}$ , icosahedral, or cuboctahedral, Jahn–Teller distorted, or floppy isomers of them were found to be more stable.<sup>33–35</sup>

The present article deals with the previously unexplored 18e cluster molecule  $Sg@Au_{12}$ . We apply DFT and various correlated ab initio approaches. Toward understanding the trends, we investigate the whole  $M@Au_{12}$  series for  $M = Cr, Mo, W, Sg$ , and  $Au$  and examine the chemical bonding using various theoretical analysis formalisms. The most stable isomer of  $Sg@Au_{12}$  was found to possess icosahedral  $I_h$  symmetry.

## 2. COMPUTATIONAL DETAILS

DFT calculations were performed with the Amsterdam Density Functional program (ADF 2013.01).<sup>35–37</sup> The atomic core–shells were frozen (with optimized valence shells in parentheses), for  $Cr$  1s–2p (3spd4s),  $Mo$  1s–3d (4spd5s),  $W$  1s–4d (4f5spd6s),  $Sg$  1s–5d (5f6spd7s), and  $Au$  1s–4f (5spd6s). The scalar relativistic (SR) and spin–orbit coupling (SOC) effects were taken into account by the zero order regular approximation (ZORA). Valence triple- $\zeta$  plus one polarization (TZP) and two polarization (TZ2P) basis sets of Slater type were applied.

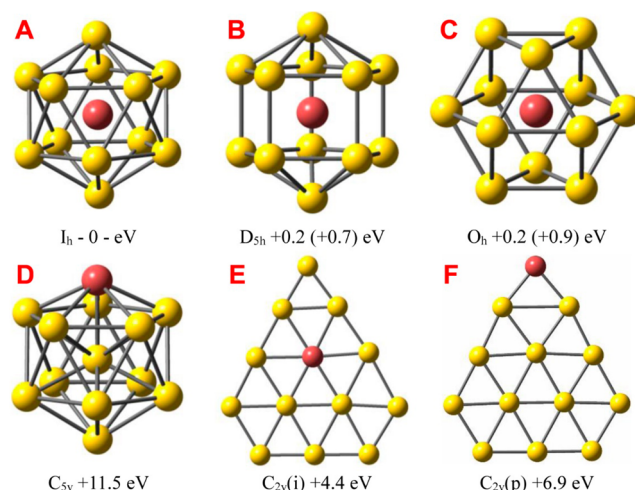
The geometries were optimized with the generalized gradient approximations<sup>38</sup> of Perdew–Wang (PW91) and Perdew–Becke–Ernzerhof (PBE), converging to an energy gradient  $< 1 \times 10^{-5}$  hartree- $\text{\AA}^{-1}$  at a Kohn–Sham SCF criterion  $< 1 \times 10^{-8}$  a.u. To compare with literature results for the lighter  $M$  atoms, single-point energy calculations were also performed using the B3LYP hybrid DFT. Vibrational frequencies were calculated to confirm that the structures are real local minima on the energy surface.

For more reliable energies, the following theoretical methods were applied in single-point calculations, using the Molpro 2012 program<sup>39</sup> at PBE/TZ2P geometries: HF–SCF, HF–MP2, SCS–MP2, CCSD, and CCSD(T). Relativistic SOC-averaged effective core potentials (ECP) of the Stuttgart–Cologne group were used for the cores as specified above.<sup>40</sup> Gaussian-type basis sets of MDF–VDZ quality<sup>41,42</sup> and improved CRENBS ECP basis sets from the EMSL basis-set library<sup>43,44</sup> were used,<sup>41,42</sup> where the correct primitive set for  $Sg$  is 18s19p18d15f (not 12s14p6d5f as noted on the EMSL Web site). The SOC of the  $Sg@Au_{12}$  cluster was estimated by adding the energy differences from B3LYP–SR/SO–ZORA to the SR–MP2 results.

The Multiwfn 3.2.1 package of Lu and Chen<sup>45</sup> was applied for chemical bonding analyses. Localized molecular orbitals (MOs) were constructed with the AdNDP method of Boldyrev et al.<sup>46</sup> The NBO 5.0 program<sup>47,48</sup> was used for population analyses by the NBO method of Weinhold et al. The binding energies for  $M + Au_{12} \rightarrow M@Au_{12}$  were analyzed according to the energy decomposition approach (EDA) of Ziegler, Baerends, et al.<sup>49–51</sup> Zero-point energies (ZPE) were taken into account throughout.

## 3. RESULTS AND DISCUSSION

**3.1. Geometries and Stabilities.** The optimized structures of several  $SgAu_{12}$  isomers are displayed in Figure 1 and Table 1. The PBE/TZ2P bond lengths are  $\sim 2$  pm shorter than the ones from PW91/TZP calculations. The  $Sg$ – $Au$  distances are near 280 pm, and the  $Au$ – $Au$  distances are  $\sim 280 \pm 10$  pm, depending on the structure. As discussed earlier,  $Sg$  appears larger than  $W$ , by  $\sim 6$  pm, while  $Au$  appears, at least in the



**Figure 1.** Optimized structures of  $Sg@Au_{12}$  isomers. 3-D: (A)  $I_h$ , (B)  $O_h$ , (C)  $D_{5h}$ , (D)  $C_{5v}$ . 2-D flat: (E)  $C_{2v}(i)$ , (F)  $C_{2v}(p)$  ( $i = Au$  inside,  $p = Au$  at periphery). Energies are from SR-PBE DFT, in parentheses from CCSD(T).

present type of compounds, significantly larger than  $Cr$  and more similar in size to its heavier homologues, in particular  $Sg$ . The order of atomic radii,  $Cr < Mo \approx W < Sg (\approx Au)$ , follows the rule of secondary periodicity.<sup>52</sup>

The relative stabilities of several  $SgAu_{12}$  isomers are displayed in Figure 1 and Table 2. It shows that a larger number of  $Sg$ – $Au$  contacts stabilizes the structure. Concerning the flat two-dimensional (2-D) Born–Oppenheimer isomers, there are various other ones with slightly different internuclear distances and slight puckering at similar or only slightly higher energies, meaning that they are all connected by vibrations, forming a soft flexible structure. Anyhow, by far the lowest-energy structures of  $SgAu_{12}$  are three-dimensional (3-D) with  $Sg$  in the center. Concerning the pure  $Au_n$  clusters, they are flat for small  $n$  and 3-D for large  $n$ , the break-even point being  $\sim n = 8$  for the cations and  $\sim n = 12$  for the anions; the 2-D/3-D equilibrium of the neutral species occurs at  $n = 11$ , but the doublet species of  $Au_{13}$  has still a rather floppy structure.<sup>53–55</sup>

Group 6 atoms (including  $Sg$ ) at the center of  $Au_{12}$  result in a closed-shell system and stabilize the 3-D symmetric structures. At the DFT level, the three 3-D  $Sg@Au_{12}$  isomers are rather close in energy. At higher-quality ab initio levels, the order definitely becomes  $I_h \ll D_{5h} < O_h$ . The energetic order of the two lighter homologues  $Mo$  and  $W$  is  $I_h < O_h < D_{5h}$  at the DFT level.<sup>17</sup> The preference of a low-energy  $O_h$  state is largest for  $Cr$ , namely:  $O_h < I_h < D_{5h}$ .<sup>56</sup>

The harmonic approximation of the vibrational frequencies of the  $I_h$   $M@Au_{12}$  clusters is displayed in Table 3. The totally symmetric  $A_g$  cage-breathing vibration of the centro-symmetric species is dominated by the  $Au$ – $Au$  periphery bonding and is independent of the central mass; it varies only a little with the  $M$ – $Au$  bond strength, namely, between 134 and 139  $\text{cm}^{-1}$ . The first  $T_{1u}$  mode represents the motion of the central  $M_c$  atom versus the  $Au_{12}$  cage and is most dependent on the central mass and the  $M$ – $Au$  bond strengths. The respective single  $M_c$ – $Au$  bond force constants  $k_{M-Au}$  (in N/cm) increase from  $Cr$  (0.45) to  $Mo$  (0.65) to  $W$  (0.8) to  $Sg$  (1.0). Among the remaining 29 asymmetric  $Au_{12}$  deformation modes, only three modes ( $T_{2u}$ ) increase too from  $Cr$  to  $Sg$ , while 10 modes (the two  $H_g$ ) hardly vary, and 16 modes ( $T_{1u}$ ,  $G_u$ ,  $G_g$ ,  $H_u$ ) even decrease, showing the secondary periodicity  $Cr > Mo \approx W > Sg$ .

**Table 1.** Calculated<sup>a</sup> Sg–Au and Au–Au Bond Lengths *R* (in pm) of Optimized 3-D and 2-D Clusters of Sg@Au<sub>12</sub><sup>b</sup>

cluster			<i>R</i> (Sg–Au)			<i>R</i> (Au–Au)		
sym	state	<i>R<sub>p</sub>/R<sub>c</sub></i>	mult	PW91 TZP	PBE TZ2P	mult	PW91 TZP	PBE TZ2P
<i>I<sub>h</sub></i>	<sup>1</sup> A <sub>g</sub>	1.0515	12	277.5	275.5	30	291.8	289.7
<i>O<sub>h</sub></i>	<sup>1</sup> A <sub>1g</sub>	1.0000	12	281.9	280.1	24	281.9	280.1
<i>D<sub>3h</sub></i>	<sup>1</sup> A <sub>1</sub> '	~1.02	10	281.1	279.4	10	288.3	286.6
			2	284.3	281.6	10	285.9	283.7
			5			5	274.7	273.1
<i>C<sub>2v</sub></i>	<sup>1</sup> A <sub>1</sub>	~1.03	6	278 ± 2	276 ± 1	14	270 ± 3	268 ± 2

<sup>a</sup>SR-ZORA-DFT: PW91 functional, TZP basis sets; PBE functional, TZ2P basis sets. <sup>b</sup>sym = symmetry of optimized structure; state = electronic ground state; mult = multiplicity of (nearly) equivalent distances; *R<sub>p</sub>/R<sub>c</sub>* = ratio of “Au–Au periphery” to “Sg–Au center” distances; the *I<sub>h</sub>* ratio is symmetry-given by  $\sqrt{[8/(5 + \sqrt{5})]}$ , and the *O<sub>h</sub>* ratio is given by 1.

**Table 2.** Relative Energies (eV) of Sg@Au<sub>12</sub> with *I<sub>h</sub>*, *O<sub>h</sub>*, and *D<sub>3h</sub>* Symmetries

symmetry		<i>I<sub>h</sub></i>	<i>D<sub>3h</sub></i>	<i>O<sub>h</sub></i>
state		<sup>1</sup> A <sub>g</sub>	<sup>1</sup> A <sub>1</sub> '	<sup>1</sup> A <sub>1g</sub>
PW91/TZP	SR	–0–	0.09	0.05
	SOC	–0–	0.11	0.06
PBE	SR	–0–	0.16	0.05
	SOC	–0–	0.17	0.16
HF-SCF	SR	–0–	0.65	0.72
MP2	SR	–0–	0.80	1.40
	SOC <sup>a</sup>	–0–	0.91	1.56
SCS-MP2	SR	–0–	0.67	1.16
CCSD	SR	–0–	0.68	0.80
CCSD(T)	SR	–0–	0.67	0.87

<sup>a</sup>The SOC effects of the Sg@Au<sub>12</sub> cluster were here taken into account by adding to the MP2 results the energy differences between the SR and SOC single-point energies, as calculated with the DFT/B3LYP method.

**Table 3.** Vibrational Harmonic Frequencies (in cm<sup>–1</sup>) of M@Au<sub>12</sub> (M = Cr–Sg)<sup>a</sup>

sym	feature	deg	Cr	Mo	W	Sg
<i>A<sub>g</sub></i>	Au <sub>12</sub> breathing	1	135	136	139	139
<i>T<sub>1u</sub></i>	M <sub>c</sub> vs Au <sub>12</sub>	3	247	221	182	172
<i>T<sub>2u</sub></i>	20% increase	3	76	84	86	91
<i>T<sub>1u</sub></i>	15–35%	3	101	81	78	67
<i>G<sub>u</sub></i>	decrease	4	103	92	94	87
<i>G<sub>g</sub></i>	from Cr	4	56	50	53	48
<i>H<sub>u</sub></i>	to Sg	5	43	35	36	30
<i>H<sub>g</sub></i>	nearly	5	116	115	118	117
<i>H<sub>g</sub></i>	constant	5	69	71	73	71

<sup>a</sup>SR-ZORA-DFT/PBE/TZ2P level of theory; sym = symmetry; deg = degeneracy.

**3.2. Charge Analysis.** The first question about the valence electrons concerns their distribution on the cluster. Because of the large electronegativity of Au as compared to Cr, Mo, and W (and possibly Sg), the central atom of M@Au<sub>12</sub> is expected to be positively charged, *Q<sub>M</sub>* > 0. However, the calculated effective charges on the atoms depend on the molecular charge density distribution as well as on the density-partitioning scheme. To explore the charge distribution we determined effective atomic charges at the DFT/PBE/TZ2P level by various prescriptions

for the whole series of *I<sub>h</sub>* M@Au<sub>12</sub> clusters (M = Cr, Mo, W, Sg, and Au, Table 4).

The effective atomic charges derived from the physically observable charge density distribution in terms of vibrating electric multipoles assign a slight electric charge transfer from well-bonded central Sg to the gold periphery, Sg → Au<sub>12</sub>; that is, *Q<sub>Sg</sub>* > 0, corresponding to the good Sg(6d)–Au(6s) overlap and the large electron affinity of Au. However, for the lighter M atoms at the center, less well overlapping with the Au<sub>12</sub> periphery, more electrons are transferred to the center, Au<sub>12</sub> → M; that is, *Q<sub>M</sub>* < 0. For comparison, a central Au is charge-wise most similar to Sg, though already slightly negative.

Remarkably, the opposite picture is shown by orbital-based partitions, for example, by the Mulliken and Weinhold schemes, which are more and less basis set dependent. Here, more and more electrons are assigned to the central atom, the better it overlaps with the gold periphery atoms, *Q<sub>M</sub>* < 0. A central Au atom is charge-wise now most similar to Cr. Finally, geometric or weighted partitioning schemes of the charge density according to the Hirshfeld or Voronoi-deformation prescriptions yield an intermediate picture. The charge transfers between the center and the peripheric atoms are now marginal.

Another indirect index for the effective atomic charge is the chemical shift of the atomic core-level energies, which could be obtained experimentally from X-ray photoelectron spectroscopy (XPS) and is often used to characterize the oxidation state of an element. The shifts of the Au 5s, 4f, and 5p bands of the outer Au<sub>12</sub> cage of M@Au<sub>12</sub> are +0.18 eV for M = Cr, +0.12 eV for M = Mo, and +0.04 eV for M = W, with respect to M = Sg or Au, which have very similar cage core orbital energies. Such small shifts correspond to formal charge differences below one unit. The SOC-averaged mean band energies are Au 5s ≈ –108.3 eV, Au 4f ≈ –82.8 eV, and Au 5p ≈ –60.45 eV. The core levels of the central Au in Au@Au<sub>12</sub> are –1.1 eV lower, indicating a non-negligible positive charge on the central Au and some negative charge on the outer Au<sub>12</sub> cage, consistent with the Voronoi and Hirshfeld density partitionings. The calculated XPS chemical shifts thus indicate an increasing positive charge on the central M atom following Au and Sg < W < Mo < Cr. It is therefore not unreasonable to consider the central atoms in these endohedral complexes existing in a positive oxidation state.

**3.3. Orbital Analysis.** As is well-known,<sup>26</sup> the relativistic effects in the valence shells of heavy atoms stabilize the s-atomic orbitals (AOs) and destabilize the d-AOs. As usual for transition metals, concerning the ionization potentials or the configuration-average orbital energies  $\epsilon_{\text{ave}}(n-1)d$  is below *ns*. For the heavy elements, however, the 5d < 6s gap  $\Delta\epsilon_{\text{ave}}$  is drastically reduced, for Au at the ZORA-PBE-DFT level, by



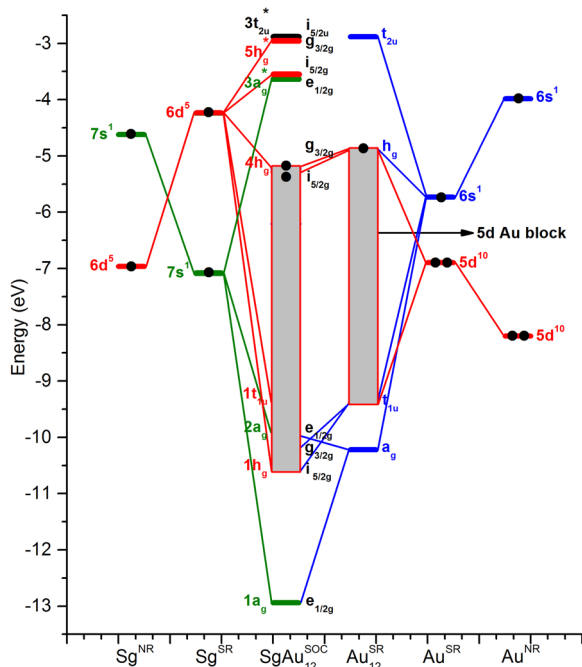
Table 4. Effective Atomic Charge of Central Atom of Various  $I_h$  Symmetric  $M@Au_{12}$ <sup>a</sup>

M	Mulliken charge	Weinhold charge	Voronoi charge		Hirshfeld charge	multipole derived charges		
			ProMol	DiffDens		monop	dip	quadrup
Au	−0.27	−0.17	(0.05)	0.14	0.11			
Cr	−0.81	−1.60	(−0.04)	0.11	0.15	−1.12	−0.79	−0.65
Mo	−1.80	−3.25	(0.51)	0.17	0.11	−0.63	−0.62	−0.45
W	−1.79	−3.44	(0.84)	0.08	−0.02	−0.45	−0.48	−0.30
Sg	−2.67	−3.03	(1.07)	0.23	0.07	+0.37	−0.08	+0.12
Au				0.14	0.11	−0.10	−0.16	−0.05

<sup>a</sup>Calculations with PBE and TZ2P basis set. M is the central atom. Weinhold charge is also known as natural charge. ProMol = promolecular. DiffDens = Difference or Deformation Density. monop = monopole, dip = dipole, quadrup = quadrupole.

more than 3 eV from 4.2 eV (nonrelativistic, NR) to 1.2 eV (scalar relativistic, SR) to 0.3 eV (SOC). The relativistic effects are even larger for Sg, and they invert the common transition metal order  $(n-1)d < ns$  to the “textbook order”  $ns < (n-1)d$ . It is noteworthy that while the textbook order  $ns < (n-1)d$  does not really apply to any transition metal of rows 4, 5, and 6, it becomes correct due to relativity in the seventh row.<sup>57</sup>

A  $Au_{12}$  cluster has 60 5d AOs and 12 6s AOs, where the latter span  $a_{1g} + t_{1u} + h_g + t_{2u}$  in an  $I_h$  symmetry. As there is no large orbital-energy gap above the Au 5d<sup>10</sup> closed shells, they do not yet behave as noble-gas shells but interact with the 5d and 6s AOs of adjacent atoms. The set of Au 5d AOs forms a broad band between −9 and −6 eV at the SR-DFT-PBE level in  $I_h$  geometry (Figure 2). The Au 6s AOs interact even more



**Figure 2.** MO level scheme for  $Sg(6d^5 7s^1)$ ,  $I_h Sg@Au_{12}$ ,  $I_h Au_{12}$ , and  $Au(5d^{10} 6s^1)$ , from DFT (PBE). Two dots indicate the highest closed shell; single dots indicate the highest partially occupied orbital level(s).

strongly, with MO levels below and above the Au 5d band at −10.2 eV ( $1a_g$ ), −9.3 eV ( $1t_{1u}$ ), and at −5 eV ( $4h_g$ ), −3 eV ( $3t_{2u}$ ), respectively. Among the MOs of dominant Au 6s character, in particular the  $1t_{1u}$  and  $4h_g$  ones, are significantly mixed the  $3t_{1u}$  (−6.3 eV) and  $1h_g$  (−9.0 eV) levels of dominant Au5d character, causing strong Au 5d–6s hybridization. A similar situation is well-known for the d-metallic phases: the

narrow lower d-band is seriously penetrated by the much broader s-band of higher average energy. We emphasize here that the concept of hybridization was introduced into quantum chemistry by Pauling in 1931, meaning the mixing of orthogonal orbitals from the *same* center (atom). We here use the word for this concept. Concerning overlapping orbital mixing from *different* centers are called covalent, dative, or coordinative interaction by the chemists. Later, solid-state and material scientists have changed the definitions of these words: In their community hybridization now indicates covalent interaction through orbital mixing from different atoms.

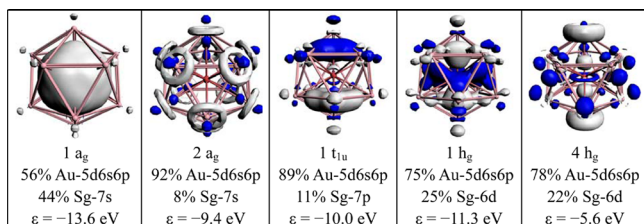
Concerning the  $Au_{12}$  periphery, the Au5d band is occupied by the 120 Au 5d electrons (using this classical picture only for counting the electrons), while of the 12 Au 6s electrons, eight occupy the low  $1a_g$  and  $1t_{1u}$  MOs, and the remaining four electrons partially occupy the  $4h_g$  MO. Viewed from the central point of the cluster, the angular behavior of these latter MOs is s-, p-, and d-like, as noted by Pyykkö,<sup>6</sup> corresponding to the common atomic orbital energy order  $\epsilon(ns) < \epsilon(np) \ll \epsilon(nd)$  with occupations  $s^2 p^6 d^4$ . This picture does not change much upon geometric changes ( $I_h$  deformations), except that the degenerate MOs split according to the linear Jahn–Teller effect, though with only little total energy lowering. Accordingly, the  $Au_{12}$  and  $Au@Au_{12}$  structures are rather flexible around the  $I_h$  geometry with a rather flat potential energy surface.

When an Sg ( $7s/a_g, 6d/h_g, 7p/t_{1u}$ )<sup>6</sup> “impurity” atom is doped into the centrally empty  $I_h$   $Au_{12}$  cluster, it is mainly the low MOs  $1a_g$  Au(s),  $2a_g$  Au(d),  $1t_{1u}$  Au(ds),  $1h_g$  Au(sd), and the partially occupied high MO  $4h_g$  Au(ds) that mix with the Sg AOs (Figure 2, Table 5). They become stabilized and fully occupied, thereby forming strong coordination bonds. These five most relevant canonical bonding MOs are shown in Figure 3.

From these analyses, the Sg– $Au_{12}$  bonding occurs mainly through the mutual stabilization of two sets of  $Au_{12}(5d, 6s)$   $a_g$ ,  $h_g$  MOs and one set of Sg( $7s, 6d$ )  $a_g$ ,  $h_g$  AOs and also a little by stabilizing one set of  $Au_{12}(5d, 6s)$   $t_{1u}$  MOs by one set of virtual Sg( $7p$ )  $t_{1u}$  AOs. In addition, the highest partially occupied  $h_g$  shell of  $Au_{12}$  is filled by electron transfer from Sg. Suppressing the Au(5d) valence participation, one may say in a simplified manner that the mixing of Sg( $7s$ ) and  $Au_{12}(6s)$   $a_g$  yields the bonding MO  $1a_g^2$  at the bottom of the valence band and the unoccupied  $3a_g^*$  MO above, at −3.6 eV. Mixing of Sg( $6d$ ) and  $Au_{12}(6s)$   $h_g$  yields the  $4h_g^{10}$  bonding MO at the top of the valence band and the unoccupied Sg( $6d$ ) type  $5h_g^*$  MO, at −3.2 eV, that is, above the Sg( $7s$ ) type  $3a_g^*$  MO, corresponding to  $7s < 6d$  of free Sg from row 7. In contrast, for the “ordinary complexes” of transition metals from rows 4, 5, 6, the empirical rule  $(n-1)d < ns$  applies. Eventually, the

**Table 5.** Strongly Au–Sg Mixed Valence MOs of ( $I_h$ ) Sg@Au<sub>12</sub> Below and Above the Au 5d Band (see Figure 2): Symmetry, Mixing Character, Orbital Energy (in eV), Electronic Occupation Number, and AO Contributions in % (Largest is Bold)

MO	type	$\epsilon$	occ	Sg(7s)	Sg(6d)	Sg(7p)	Au(5d)	Au(6s)	Au(6p)
1a <sub>g</sub>	Au(s <sub>d</sub> )+Sg(s)	−12.9	2	<b>44</b>			17	<b>33</b>	6
2a <sub>g</sub>	Au(d <sub>z</sub> )+Sg(s)	−9.4	2	8			<b>63</b>	27	2
3a <sub>g</sub> *	Au(s <sub>p</sub> )−Sg(s)	−3.6	0	17			11	<b>49</b>	23
1t <sub>1u</sub>	Au(sd)+Sg(p)	−10.0	6			11	<b>40</b>	<b>42</b>	7
3t <sub>1u</sub> *	Au(sp)−Sg(p)	−1.3	0			25	3	<b>39</b>	<b>33</b>
1h <sub>g</sub>	Au(d)+Sg(d)	−10.4	10		25		<b>65</b>	8	2
4h <sub>g</sub>	Au(ds)+Sg(d)	−5.3	10		22		<b>44</b>	22	12
5h <sub>g</sub> *	Au(s <sub>dp</sub> )−Sg(d)	−3.2	0		35		11	<b>39</b>	15

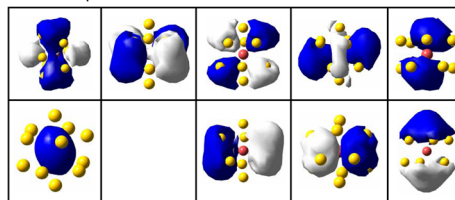
**Figure 3.** Contour plots of canonical Sg–Au bonding valence MOs of ( $I_h$ )Sg@Au<sub>12</sub> at the DFT/PBE/TZ2P level ( $\psi = \pm 0.038$  au). Note that  $x\%$  Au is  $\sim(x/12)\%$  for each Au.

virtual valence Sg(7p) orbitals also play some role for the bonding interactions stabilizing the Au<sub>12</sub>(6s) 1t<sub>1u</sub> MO. Thus, this picture resembles the 18e picture painted by Pyykkö for W@Au<sub>12</sub>.<sup>6</sup> While the 18e noble-gas shells contain the three stable shells ( $n-1$ )d<sup>10</sup> < ns<sup>2</sup> < np<sup>6</sup>, the 18e cluster molecules contain cb-s<sup>2</sup> < cn-p<sup>6</sup> < cb-d<sup>10</sup> type shells, with cb and cn meaning center-bonding and center-nearly nonbonding MOs, respectively. Further MOs without binding the central atom may be intercalated between the center-bonding cb-s<sup>2</sup> and cb-d<sup>10</sup> type shells (Figure 2).

Using the “adaptive density partitioning” approach,<sup>46</sup> one can approximately extract 60 Au(5d)-type orbitals and end up with nine delocalized valence MOs of approximate “s”, “p”, and “d” symmetry for the remaining 18 electrons in the Sg(6d7s)–Au<sub>12</sub>(6s) valence shell (Figure 4, upper). One can approximately achieve such a chemically motivated partitioning, although the envisioned 18e system is distributed over the energetic top and bottom of the valence band with the chemically active Au(5d) band being intercalated.

An alternative to the delocalized representation of the 18e system in Figure 4 (upper) is the localized representation in Figure 4 (lower). While a metallic, vanishing band gap would prevent any significant orbital localization, Sg@Au<sub>12</sub> has some small though non-negligible band gap that allows for some orbital localization. However, as an electron-deficient system with only nine valence pairs for the 12 Sg–Au and 30 Au–Au contacts, the most localized MOs are at best 5 to 10 centric. As usual, they are not unique, and two alternatives for the quartets of 7c-Sg-6Au orbitals are displayed.

It has been shown<sup>58–60</sup> that in the  $T_d$  Au<sub>20</sub> closed-shell cluster (highest occupied molecular orbital–lowest unoccupied molecular orbital (HOMO–LUMO) gap nearly 2 eV) consisting of 10 tetrahedral Au<sub>4</sub> building blocks, the 20 Au 6s valence electrons form 10 valence pairs, which can be represented by 10 partially localized 4c-2e bond MOs, each one in one of the 10 Au<sub>4</sub> units. In contrast, depending on the symmetry, the M@Au<sub>12</sub> clusters are built to  $I_h$  of 20 distorted MAu<sub>3</sub> tetrahedra, to  $O_h$  of six distorted MAu<sub>5</sub> octahedra, or to

**Delocalized Representation of 9 MOs for 18 Valence Electrons of SgAu<sub>12</sub>****Optimally Localized Representation of the Valence Shell of SgAu<sub>12</sub>**

MO type	Three 5c-SgAu <sub>4</sub> MOs: ON = 1.93	Four 7c-SgAu <sub>6</sub> MOs: 2 alternatives with ON = 1.86	Two 10c-Au <sub>10</sub> MOs: ON = 1.87
Localized MO Isosurfaces			
AO composition	Sg-6d7s <sup>0.05</sup> (52%) & 4 adjacent Au-6s (44%)	Sg-6d7s <sup>1.3</sup> (5%) & 6 adjacent Au-6s (93%)	Sg-6d7s (6%) & 3+3 adjacent Au-6s (87%)

**Figure 4.** ( $I_h$ ) Sg@Au<sub>12</sub>: 9 MOs (contour values  $\psi = \pm 0.038$  au) in the Sg(7s6d)–12Au(6s) valence shell, from B3LYP/MDF-VDZ//CRENBS Adaptive Density Partitioning (AdNDP).<sup>46</sup> Upper part: The 18 valence electrons of SgAu<sub>12</sub> in doubly occupied *delocalized* MOs (Upper row: 5 “d” shaped MOs. Lower row: 1 “s” and 3 “p” shaped MOs). Lower part: 9 *optimally localized*, 5 to 10 centric MOs, one equivalent example each (ON = electronic occupation; the AO compositions are indicated).

$D_{5h}$  of five SgAu<sub>4</sub> and two SgAu<sub>6</sub> building blocks. The nine valence pairs of these 18e systems do not match the topologies of the M@Au<sub>12</sub> species and cannot be localized symmetrically.

**3.4. Bond Energy Analysis.** The binding energy  $\Delta E_{\text{bind}}$  of the insertion of M = Cr, Mo, W, and Sg into the empty  $I_h$  Au<sub>12</sub> cluster,  $M + \text{Au}_{12} \rightarrow M@Au_{12}$ , has been investigated with the energy decomposition analysis (EDA).<sup>49–51</sup>

$$\Delta E_{\text{bind}} = \Delta E_{\text{prep}} + \Delta E_{\text{elstat}} + \Delta E_{\text{Pauli}} + \Delta E_{\text{orb}} \quad (1)$$

Here  $\Delta E_{\text{prep}}$  is the energy cost to deform free Au<sub>12</sub> to the partial structure in M@Au<sub>12</sub>.  $\Delta E_{\text{elstat}}$  is the classical electrostatic energy gain when the electron shells of the neutral fragments M and Au<sub>12</sub> overlap.  $\Delta E_{\text{Pauli}}$  is the respective quantum-mechanical overlap energy cost owing to the Pauli exclusion principle.  $\Delta E_{\text{orb}}$  is the energy gain owing to orbital and occupation optimization in M@Au<sub>12</sub>. The partial sum  $\Delta E_{\text{elstat}} + \Delta E_{\text{Pauli}} = \Delta E_{\text{ster}}$  is sometimes called the steric repulsion, and the total quantum-mechanical interaction is  $\Delta E_{\text{Pauli}} + \Delta E_{\text{orb}} = \Delta E_{\text{quant}}$  as in eq 2. The results are listed in Table S2 of the electronic Supporting Information.

$$\begin{aligned}\Delta E_{\text{bind}} &= \Delta E_{\text{prep}} + \Delta E_{\text{ster}} + \Delta E_{\text{orb}} \\ &= \Delta E_{\text{prep}} + \Delta E_{\text{elstat}} + \Delta E_{\text{quant}}\end{aligned}\quad (2)$$

Going across  $M = \text{Cr, Mo, W, and Sg}$ , all energy terms for the  $I_h$   $M@Au_{12}$  formation increase steadily in value: the electrostatic overlap attraction  $\Delta E_{\text{elstat}}$ , the quantum-mechanical overlap repulsion  $\Delta E_{\text{Pauli}}$ , the steric repulsion  $\Delta E_{\text{ster}}$ , the attractive quantum-mechanical molecular orbital relaxation  $\Delta E_{\text{orb}}$ , and the quantum-mechanical repulsion  $\Delta E_{\text{quant}}$ . However, the total insertion energies (without SOC contributions, at the B3LYP level, for  $I_h$  geometry) happen to be  $-\Delta E_{\text{bind}} = 7.6$  eV for Cr (somewhat bigger for the  $O_h$  ground state), 10.3 eV for Mo, 10.4 eV for W, 9.8 eV for Sg (and a few 0.1 eV smaller for  $O_h$  and  $D_{5h}$  metastable states). The binding energies for Mo, W, and Sg are rather similar, around 10 eV, while the binding of Cr is  $\sim 2$  to 3 eV smaller due to the radially rather contracted Cr 3d orbitals.

#### 4. CONCLUSIONS

The geometric and electronic structures of the  $Sg@Au_{12}$  cluster and its lighter homologues have been investigated with DFT (PW91, PBE) and WFT (MP2 and CCSD(T)) approaches. In contrast to  $Au_{12}$  or  $Au_{13}$  or  $M@Au_{12}$  with an  $M$  not having just six valence electrons, the presently investigated species have a closed shell and a band gap significantly above 1 eV and are geometrically less flexible. The lowest-energy isomer of the  $Sg@Au_{12}$  cluster is icosahedral as  $Mo@Au_{12}$  and  $W@Au_{12}$ . At the qualitative level, one can describe the cluster bonding by  $Sg(7s6d7p)-12Au(6s)$  orbital interaction of an 18-electron system with 12e from the 12 Au atoms and 6e from the central Sg metal atom. This picture is, however, slightly perturbed by significant  $Au(5d6s)$  hybridization and an interlacing orbital band of dominant  $Au_{12}(5d)$  character. Despite the SR and SOC reducing the HOMO–LUMO band gap of  $Sg@Au_{12}$ , the nine bonding-pair MOs can still be localized partially (at best 5 to 10 centric) over the 12 Sg–Au and the 30 Au–Au bonding contacts, though by far not as well as for  $Au_{20}$ . A remarkable point of general relevance is the energetically low position of the  $Sg(7s)$  valence orbital level, shifted due to relativity below the  $Sg(6d)$  level.

Another point of relevance is the large unphysical negative charge attached to the central heavy atom by orbital-population based concepts, that is, when applied to atoms with large (center, bulk) versus small coordination number (periphery, surface). These cage molecules thus provide a critical test set for evaluating various population analysis schemes for effective atomic charges. In consideration of the low energetic position of the  $Sg 7s^2$ -type MO, a description of the oxidation states as  $Sg^{4+}@Au_{12}^{1/3-}$  and  $M^{6+}@Au_{12}^{1/2-}$  ( $M = \text{Cr, Mo, W}$ ) seems appropriate, although oxidation state and formal charge should not be attached as an absolute physical meaning. It would be interesting to know which population analysis yields a physically relevant picture, concerning, for instance, chemical shifts of XPS or NMR signals from  $M$  of the more easily investigated  $M@Au_{12}$  species. XPS shifts are expected to indicate some loss of electron density at the centric impurity atom. While the assignments of oxidation states of central metals are not contradicting the atomic charges and bonding analysis, further experiments such as core–electron XPS are necessary to validate these conjectures.

#### ■ ASSOCIATED CONTENT

##### Supporting Information

Tabulated data including energetic order of  $M@Au_{12}$  clusters of different symmetries and energy decomposition analyses for  $M + Au_{12} \rightarrow M@Au_{12}$ . This material is available free of charge via the Internet at <http://pubs.acs.org>.

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##### Notes

The authors declare no competing financial interest.

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